Component Technology and Distributed Information Systems on the Internet

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Some Tools and Technologies....

Some tools and technologies for the development of Component based Information Systems

- Object Management Architecture, CORBA (Common Object Request Broker Architecture)
- Microsoft COM (Component Object Model), DCOM (Distributed COM)
- Component Object Models, IDL (Interface Description Language)
- Layered Architectures, 3-tier/n-tier, Business Objects
- Rational Rose/UML (Unified Modelling Language)
- Visual Basic, Visual C++, ATL (Active Template Library), J++ (MS Java)
- Compound Documents, ActiveX Controls, ActiveX Documents
- Universal Data Access, OLE DB, ADO (ActiveX Data Objects)
- MTS (Microsoft Transaction Server)
- IIS (Internet Information Server), ASP (Active Server Pages), Scripting
- SOAP - Simple Object Access Protocol
- XML, DTD (Document Type Definition), DOM (Document Object Model), XSL (eXtensible Stylesheet Language)
- Microsoft Repository, Visual Component Manager (VCM)
- Microsoft Message Queue Server (MSMQ)
- OODB (??)
- …..and more….puh…. 
Distributed Information Systems
Information Systems - An Outline

- Content production
- RDBMS/OODBMS
- Information store
- Analysis/Design (UML m.fl)
- Components (COM, CORBA)
- Network
- XML
- Application
- Software Engineering (managing the software development process)
- Presentation/Interaction
- Clients (XSL, HTML, WML, WAP, Bluetooth, etc)
- Services
- Infrastructure

Software Engineering (managing the software development process)
Layered Architectures - 3-tier/n-tier
Windows DNA (Distributed interNet application Architecture)
SynEx - Synergy on the Extranet
Seamless Integration of Distributed Electronic Patient Records

current situation

RH

include

copy

SiA

Surgery information

examination information

SynEx prototype
SynEx Client:
ActiveX components in Internet Explorer

Request/Response:
XML over http

OSS FHCR Server:
IIS/ASP on Win/NT and COM comp. under MTS
Information Storage
Databases - Persistent Information

• Databases and database technology play a key role in information management systems. Techniques and methods for efficient (w.r.t. searching) storage of large volumes of persistent information made available to (many) concurrent users, and operations on this information requires the administration of distributed transactions.

• Professional web sites will use databases for storing their information, while the individual web pages are generated "on demand". This as opposed to an unmanageable "mix" of .html, .xml and .wml files. Except for reading/browsing, then the information content of .html/.xml/.wml files is not readily available for comparisons, search, etc. In addition there are the problems of invalid and missing links.

Stored Procedures and Database Encapsulation

Encapsulate the database tables behind an "interface" of stored procedures.

• Constraints and business rules that are inherently linked to the database schema, independent of which application uses the database, should be enforced within the database, and stored procedures may be the only means for achieving this.

• The tables of a database schema are often subject to minor changes, e.g. for performance reasons, but such changes should be transparent to the application.

• Improved performance
Universal Data Access (UDA)

Uniform Access to Heterogenous Data Sources with OLE DB and ADO

- C++
- Visual Basic
- Script
- Java

ADO (ActiveX Data Objects)

OLE DB (Object Linking and Embedding Database)

- RDBMS
- Directory Services
- E-mail

Data Provider

Data Consumer

Other Data Stores
What about Object-Oriented Databases?

• Main benefit
  Avoids mismatch between relational data and object-oriented applications; e.g. inheritance relationships, recursive structures, ….

• Do they scale well, do they perform well - may be - but many in industry considers it an added risk to rely on this for large enterprise information systems

• Developers will be happy with them - but will this reduce development cost enough to outweigh the “risk” (real or perceived)?

• What about customers - do they benefit from it?

• Main problem
  No “killer application” - there seems to be no undisputable need for it

Object-Oriented application to Relational storage

a) Single table

b) Leaf tables only

c) One partial table per class

d) One full table per class

e) Logical split in the inheritance hierarchy
COM Components
(Microsoft Component Object Model)
Interfaces, Components/CoClasses, Objects, GUID (Globally Unique Identifiers), CLSID, IID

**Interfaces**: Versioning - Multiple interfaces - Single inheritance - IUnknown

CoClasses

Objects

DLL or EXE
IDL - Interface Definition Language

[ object,
  uuid(EA762187-A99A-11d3-95F4-0060979B4844),
oleautomation,
dual,
……]
interface IOSSSMLogin : IDispatch
{
  [id(1), helpstring("Function LogOn")]
      HRESULT LogOn([in] BSTR user, [in] BSTR pwd, [out] VARIANT_BOOL* okLogOn);

  [id(2), helpstring("Function LogOff")]
      HRESULT LogOff([out] VARIANT_BOOL* okLogOff);
};

[ object,
  uuid(EA762188-A99A-11d3-95F4-0060979B4844),
oleautomation,
dual,
……]
interface IOSSSMXML : IDispatch
{  [id(1), helpstring("Function GetRecordInfo")]
      HRESULT GetRecordInfo([in] long recordID, [in] short retrievalMode,
                             [in] VARIANT_BOOL getHTML, [out] BSTR* XMLString);

      ……
};
Component Object Models

- In component based systems an object model consists of classes, interfaces, functions, etc, typically specified by an IDL (interface definition language).

- MS Word COM/Automation interfaces illustrated in the Visual Basic Object Browser

Sub SaveAs(ByVal FileName As String, ByVal SaveFormat As String, ByVal LockComments As Boolean, ByVal Password As String, ByVal AddToRecentFiles As Boolean, ByVal WritePassword As Boolean, ByVal ReadOnlyRecommended As Boolean, ByVal EmbedTrueTypeFonts As Boolean, ByVal SaveNativePictureFormat As Boolean, ByVal SaveFormsData As Boolean, ByVal OverwriteAsACCE As Boolean)

Member of Word Document
Programming Languages and Development Environment

- **Microsoft Visual Studio** - an elaborate development environment

- **Visual Basic** - very(!) easy to learn and use - inflexible

- **Visual C++** - powerful and flexible - complex - wizzardmania….

- **Visual J++** - no experience with it…..

- **ATL (Active Template Library)** - utility for creating COM components in VC++
Compound Documents
with ActiveX Controls and ActiveX Documents

USIT - Universitetets senter for informasjonsteknologi

USIT informerer

IT-tjenester ved UiO
USITs elektroniske oppslagstavle
Avisa Info@usit.uio.no

Private Sub cmdCheck_Click()
Dim myWord As Word.Application
Set myWord = New Word.Application
If myWord.CheckSpelling(txtSpell.Text) Then
    lb1Result.Caption = "Correct spelling"
Else
    lb1Result.Caption = "Incorrect spelling"
End If
End Sub

Private Sub cmdGoto_Click()
WebBrowser.Navigate txtURL.Text
End Sub
COM and Distributed COM (DCOM)
Local in-process, Local out-of-process, Remote

Client Process
- Client Application
- In-Process object
- In-Process server

Local Server Process
- Stub
- COM
- Local Object
- Local Server

Remote Machine
- Local Object Proxy
- COM
- Remote Object Proxy
- Remote Object
- COM
- Remote Server

RFC
Application Layer
MTS - Microsoft Transaction Server

Transaction servers are important for *scalability* with respect to the number of concurrent users, and thus *performance*, and also for managing *distributed transactions* and *resources* like database connections. MTS - the transaction server from Microsoft - supports:

- Distributed transactions via DTC (Distributed Transaction Coordinator)
  
  COM objects residing in the MTS of different computers can participate in the same atomic transaction.
  
  If an MTS COM object works on databases on different computers these database operations can be combined into a single transaction.

- Database connection pooling
  
  Instead of assigning a dedicated database connection to each client, a pool of database connections are reused as required to serve client requests => database connections can be utilized more efficiently

- Object pooling (only available from MTS v.3.0) - "Stateless" programming model
  
  Instead of creating an object instantiated from a particular component from scratch each time it is needed, objects that does not participate in a transaction at the moment can be reused as if they were newly created objects.

  "Stateless" COM objects - they may well have state within transactions but not between transactions

COM+ - the latest version of COM - MTS included

ATL (Active Template Library) - VC++ library that makes it easier to implement COM components
MTS Connection and Object Pooling

without connection pooling

DB Clients

1
2
•
•
n

1
2
•
•
n

with connection pooling

DB Clients

1
•
•
i
j
k
m

DB

m >> n
XML
(eXtensible Markup Language)
What is XML?

- XML is a string of text formatted according to certain rules.
  Some of the format rules are common to all XML (well formed XML),
  while others can be defined by an XML schema definition (valid XML) - e.g. an XML DTD (Document Type Definition), XML Schema, and others.

- An XML string can be stored in a plain ASCII file, but when using XML in an information system the XML may never exist in a file.

- Important - When creating or receiving an XML string it can be accessed and operated upon as a structure of various kinds of objects with an interface with functions (and also events) similar to other kinds of e.g. COM objects.

What is XML not (at least primarily...)?

- A modelling language

- For storing persistent information
  i.e., not principally different from how any file can be used for this. Thus no alternative to databases for large data volumes. XML formatted information is well-suited for database storage - generated on demand - as opposed to more specialised data formats.

- For presentation
  XML in combination with XSL (eXtensible Stylesheet Language) can be used for flexible presentation formats - e.g. into HTML or other presentation formats.

WAP/WML on Mobile Devices

- WML (Wireless Markup Language) is XML according to a particular DTD
Document Object Model (DOM) of the MS XML Parser

![Diagram of MS XML DOM classes and members]

Function `hasChildNodes()` As Boolean
Member of `MSXML.IXMLDOMNode`
Microsoft currently works on a specification called SOAP (Simple Object Access Protocol) where the communication between a client and a server is formatted as XML over http both ways.

There are several advantages by this:

• http is a simple protocol with good coverage and few demands on the client
  XML as strings are well-suited for transmission via http

• Most firewalls are readily configured for common security options dealing with well known internet protocols and ports.
  This as opposed to e.g. DCOM or CORBA protocols like IIOP (Internet Inter-ORB Protocol).
  In practice, the ability for remote machines to interact via DCOM and IIOP is more limited.
  DCOM and IIOP can be well-suited for computers within e.g. a limited area, but not between "any" remote client and server on the internet.

• XML over http makes the underlying client- and server-side technology transparent to each other.
  Similar to how component technology provides for programming language independence and technical interoperability locally, SOAP provides for platform independence and technical interoperability globally.
Example SOAP Requests

http GET command (QueryString)

http://citroen.nr.no/synexdemo/oss.asp?<OSSrequest>
  <Function Name="LogOn">
  <Arg Name="User">admin</Arg>
  <Arg Name="Password">x</Arg>
  <Arg Name="ResponseType">xml</Arg>
  </Function>
</OSSrequest>

http POST command (HTML Forms)

<form method="POST" action="http://citroen.nr.no/synexdemo/oss.asp">
  <input type="hidden" name="XMLRequest" value="<OSSrequest><Function Name="LogOn">
    <Arg Name="User">emil</Arg>
    <Arg Name="Password">x</Arg>
    <Arg Name="ResponseType">xml</Arg>
    </Function></OSSrequest>' />
  <input type="submit" value="Log On" />
</form>

Notice: The above XML format is <i>not</i> according to the SOAP v.0.9 specification.
Web Server
IIS/ASP
(Internet Information Server/Active Server Pages)
ASP scripts as Web Server Interfaces

- Avoid the use of scripting languages (e.g. VBscript) except as "glue" between COM components.

Visual Basic, Visual C++, Visual J++ offer better development environments

```vb
<%@ Language=VBScript %>
<%
On Error Resume Next

Set objServer = Server.CreateObject("OSSSynExDemo.COSSASPServer")
objServer.HandleClientRequest()

If Err.Number <> 0 Then
    Response.Write("...error message to client - e.g. XML formatted...")
    Err.Clear
End If
%>
```
IIS COM objects:
- **Session object** - user session
- **Request object** - user request
- **Response object** - user response

UML Component Diagram of SynEx Server-side components
Client-side Components
Example - Sharing Records at SiA, RH and Dublin

RH record (1000) references a SiA record (16) with a document (Dublin_Document) that is a reference (Dublin_RemoteLink) to a Dublin document (Demographics).

RH record

SiA record

same Dublin document
(example) Client Architecture - "thick" clients

- GUI
- Cache Manager
- Cache (e.g. ADO)
- Data Provider
- XML Parser
- http
**Client- vs Application- vs Database Distribution**

- **SynEx Client**
  - IE5 - Internet Explorer 5
  - SynExML formatted FHCR information
  - client-side integration ("client distribution")

- **Geneva**
  - Geneva FHCR Server
  - SynExML formatted FHCR information

- **Dublin**
  - Dublin FHCR Server

- **Oslo**
  - Application distribution
  - OSS FHCR Server A
  - OSS FHCR Server B
  - OSS FHCR Server C

- **London**
  - Database distribution
  - London FHCR Server

- **Database Distribution**
  - DB 1
  - DB 2
  - DB n

**Client Versus Application Versus Database Distribution**
Model and Meta-Information Management
Characteristics of Rational Rose/UML

+ “Mainstream” - well-known and seen as a standard
+ Information modelling and explicit object interaction modelling
+ Object model available via COM/automation - it can be extended and customised
+ Code generation (but **not** production code…)
+ Informal (…this can be a plus)

÷ Business rules and behaviour other than explicit object interaction
÷ Conceptual errors cannot be detected - models are not correct/incorrect - no modelling tool can distinguish good from bad models (and this is difficult also for experienced modellers)
÷ Incomplete
÷ Slightly confusing organization (at least at first…)

• Consider it mainly as a drawing tool and as a model repository
• Use only those parts that are well understood/agreed upon, and use it consistently - do not “over-model”
• Modelling syntax is not essential, but you are not likely to do e.g. Class Diagrams any better…
• Assuming that analysis/design is essential to large-scale software development, then a modelling tool can be useful to establish good routines for planning and documentation, and as a means for unambiguous communication internally and externally.
Example Rational Rose Class Diagram

Single-valued, multivalued and composite attributes of items of particular categories.

Single-valued attributes are defined as ordinary attributes. Multivalued attributes are defined with a type defined as "Sel category". Composite attributes, i.e., attributes with their own set of attributes, are defined by classes with the stereotype.
The Rational Rose Object Model for COM/Automation

```
Function OpenModel(theModel As String) As RoseModel
    Member of RationalRose.RoseApplication
```

```
Members of 'RoseApplication'
- Height
- Loft
- PathMap
- ProductName
- Top
- Version
- Visible
- Width
- CompileScriptFile
- ExecuteScript
- Exit
- GetLicenseApplication
- GetProfileString
- NewModel
- NewScript
- OpenExternalDocument
- OpenModel
- OpenModelAsTemplate
- OpenScript
- OpenURL
- Save
```
Generate Server-side Code from Meta-Information

Microsoft Repository

- Meta-information management
- Object Information Model
- Extendable Subject Areas
- COM/Automation access

Subject areas in the current Open Information Model

Generate Server-side Code from Meta-Information
The DNV BRIX Architecture

Application layer

Tool 1
View Vessel Information

Tool 2
Plan Survey

Tool n
Record Survey Observations

CIS - Common Information Services

Domain Model Concepts
Concept Manager
Domain Model Concept

Domain Model Objects
Object Manager
Domain Model Object

Domain Model
Rational Rose/UML class diagram

Text file with domain model information

IPclient

Central (Server) Computer

COM Object cache

Local (Client) Computer

IPserver (MTS components)

DOM
(possibly a slow network or temporarily not connected (offline))

Domain Model Information
GenCIR (generates DB schema and part of IPserver)

Object State Information

SQL
Server 7.0

ODBC

CIR - Common Information Repository

Domain Model Objects

Concept Manager

Domain Model Concept

Tool 1

View Vessel Information

Tool 2

Plan Survey

Tool n

Record Survey Observations
SINAI

Seamless Integration of Non-homogenous Applications and their Information
Information models and object models play key roles in an information system architecture.

The goal of SINAI I is to create and maintain models in UML/Rational Rose that are used to partially generate domain-specific code in server- and client-side components; e.g. DB schema, stored procedure interfaces, OLE DB wrapper classes, application layer IDL, XML/SOAP parsing, client cache's, and more.

Components at all layers can be specialised manually as required, e.g. for performance or the implementation of business rules that cannot be generated.

The use and format of the XML should be transparent to higher levels in the architecture.

- The server-to-client XML is formatted according to UML with information on objects instantiated from UML classes
- The client-to-server XML is formatted as object method calls

Semantically both a client and a server uses UML as the basis for their common understanding.

Clients will not only receive object information, but also UML meta-information on these objects; e.g. what are their interfaces, which methods to they offer, and more.
The kind of information that an information system must be able to manage may not be known at design-time.

Possible solutions:

- A very generic database schema and application layer, with support for specialisation and customisation (Synapses)
- A very generic application layer that can be applied to "any" UML Class Diagram (BRIX)

Both these solutions have some disadvantages due to their generic nature.

Genericity provides flexibility - but at the cost of added complexity amongst others.

SINAI II aims to provide an extendable solution without being generic, but instead by integrating "submodels" at all levels of a layered information system architecture.
Concluding Remarks
Concluding Remarks

Believe that component technology will become the core technology for most program developments. Exceptions may be very specialized, domain-specific platforms (e.g. certain mobile devices).

Benefits:
- Technical interoperability
- Programming language independence
- Location transparency (....not 100%....)

Component technology is a "natural" extension of object-oriented programming, but it may have an even more profound influence on programming than what object-oriented programming languages have had (if disregarding the fundamental conceptual understanding implied by OO as a methodology).

Component technology is still young - but there exist many business-critical applications and enterprise systems based on component technology.

PS: Learning how to develop components is more demanding than learning e.g. SQL or XML.
Concluding Remarks (cont.)

(D)COM versus CORBA/EJB/Java

• They are likely to coexist

• COM are sometimes preferred by commercial companies since there are many more COM components and COM-compatible tools available on the market

• Compatibility between COM components seem better than the compatibility of ORB's made by different vendors

Component Technology and Programming Languages

Component technology may bring new life to specialized and "small" programming languages

On the other hand - a production environment where different components are implemented in different programming languages is not very manageable despite being technically interoperable - e.g. debugging a combination of Visual C++, Lisp, Object-Oriented Cobol, etc....
Etcetera - Technical Details
Basic COM (Component Object Model)

- **VTable interfaces** - a binary standard with interfaces based on a memory layout corresponding to that of abstract classes in C++

  A COM interface and its functions is similar to an abstract base class with a set of virtual functions in C++

  The extra level of indirection provides flexibility with respect to how interfaces are implemented.

- **Dispatch interfaces** - query the interface for its functions and their signatures

- **Dual interfaces** - available both for efficient vtable access and for scripting languages
Information on Interfaces and Components
Marshalling for Out-of-Process Components

Diagram:

- Client process
  - Client
  - Proxy
  - RPC Channel
  - System RPC
- Server process
  - Stub
  - Object
  - Server
  - RPC Channel
  - System RPC

Connections:
- Same machine
- Different machines
- Network cable transport
Integrating COM Components via Containment vs Aggregation